

# Application Lifecycle Management for Industrial IoT Devices in Smart Grid Use Cases

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**Abstract:** Complex cyber-physical systems like the Smart Grid, in which Industrial Internet of Things (IIoT) technology is used, require advanced software maintenance mechanisms to remain dependable and secure. In this paper, requirements and tasks for an application lifecycle management for IIoT use cases, with special focus on the domains of Smart Grid and Smart Buildings, are defined and state-of-the-art software deployment processes from IoT use cases are evaluated for usage in those domains. As there is no suitable framework, an approach for the deployment of OSGi components is described. On top of such software deployment tools, a knowledge-based software management framework that utilizes domain specific knowledge to create and execute software rollout plans will be presented. Thus, dependencies can be managed on device, system and domain level.

## 1 INTRODUCTION

Cyber-physical systems (CPS) require careful maintenance of all parts of the system to achieve a predefined level of dependability and security. This maintenance includes rollout, update, and decommissioning of software on field devices. The usage of Internet of Things technology in industrial cyber-physical systems (Industrial Internet of Things – IIoT) significantly emphasizes this requirement since the number of small and medium sized devices that may be affected by security issues or bugs preventing correct operation increases significantly (Razzaq et al., 2017). One of these systems is the Smart Grid (Yu and Xue, 2016), in which field devices monitor and control hardware to generate, transmit, store, provide, and consume energy. The transition from the traditional grid to the Smart Grid includes equipping secondary substations, located on the borders between the medium and the low voltage grid, with mechanisms to allow software modules to be installed on demand and maintained centrally from a remote operator (Faschang et al., 2017).

In this paper, processes for the large scale rollout of software applications, especially in use cases of energy and grid management are investigated. They

involve a high number of intelligent Secondary Substations (iSSNs) and an even higher number of Building Energy Management Systems (BEMSs) using a central control center for the supervision and management of software components' operation on the devices in the field (Kintzler et al., 2018). Stable and resilient system operation is required in this setting where communication systems are used for Smart Grid runtime operation (such as monitoring), controls and Information and Communication Technology (ICT) maintenance (such as application deployment, patching, and remote configuration). In contrast to Internet of Things use cases, the installation of a module does not only affect one device. Industrial IoT applications interact with external systems (e.g., the power grid) which may become a hidden communication channel (Kintzler et al., 2018). It is thus important to ensure that the running apps work together correctly. Therefore, the deployment process must be resilient to faults and attacks in both the ICT and the power grid system.

This paper defines requirements and tasks for an application lifecycle management for IIoT use cases, especially with focus on the domain of Smart Grid and Smart Buildings. An overview on software deployment processes in the Smart Grid and the cus-

tomers domain is given (Section 2). As no tailored process for those domains exists yet, state-of-the-art software deployment processes from IoT use cases are evaluated (Section 3). Afterwards, an approach for an OSGi-based deployment is presented (Section 4). Finally, an approach to include domain-specific knowledge to plan and execute software rollouts is introduced (Section 5).

## 2 APPLICATION LIFECYCLE MANAGEMENT

Several definitions of the terms software distribution, software deployment, and its processes exist (Dearle, 2007; Arcangeli et al., 2015). For example, *Arcangeli et al.* define software states (deployable, inactive, active) and activities that change the state. Not only does that include the activity of distribution, but also the continuous maintenance of the software and its decommissioning. Furthermore, they consider changes on the physical infrastructure which require redistribution of software. The OSGi lifecycle (OSGi Alliance, 2018) provides a similar concept and introduces further states (installed, resolved, starting, active, stopping, uninstalled). In the following sections, requirements for an IIoT application lifecycle management as well as typical software maintenance tasks a system needs to fulfill in a resilient and secure manner are defined.

### 2.1 Requirements

Main requirements for a provisioning mechanism are:

- R1 **Scalable Device Management.** A central control center shall supervise and manage the operation of software components on a high number of field devices, posing the requirement of a scalable solution. The devices' state, including running software components and their configuration, needs to be communicated to the backend system.
- R2 **Automatic Deployment.** Software components and their configurations need to be deployed to the field devices while reducing maintenance effort and cost. Thus, it should be avoided to require staff on-site for the majority of such tasks.
- R3 **Modular System.** Devices in the field may have only a limited bandwidth. Modular software components, both small in their duties and size, allow for a bandwidth efficient transmission. Furthermore, this simplifies to compose required features of a device on demand.

R4 **Dependency Management.** Possible dependencies between applications shall be resolved automatically during the installation process.

R5 **Automatic Updates, Automatic Configuration.** Deployed software components may be stopped at a later time, they may be updated to a newer version or its configuration may be changed. Unnecessary transmission of unaffected software components can be avoided best in a modular system (R3); thus, only the affected components need to be substituted while all others continue to run.

R6 **Automatic Rollback.** Automatic rollback procedures shall be provided in case of a failure of the installation process to restore the previously verified working state of the system.

R7 **Resilience and Security.** The rollout process shall be resilient against faults of and attacks against the ICT network and the power grid. Communication needs to be secured as well as bad network connections need to be taken care of. The software repository shall only be accessible for authenticated targets and all resources shall be signed to ensure integrity and authenticity.

### 2.2 Application Lifecycle Management Tasks

In the described setting, applications shall be installed/updated/etc. from a remote backend system to enhance or modify the functionality of the target system. Following application lifecycle management and provisioning tasks can be identified (Faschang et al., 2017):

1. Installation of a software module
2. Start of this software module
3. Stop of this software module
4. Uninstallation of this software module
5. Update of this (possibly running) software module
6. Configuration of this (possibly running) software module
7. Information about the current state of the software modules (e.g., by utilizing a periodically running health check)

There are different approaches of managing the lifecycle of applications, most of which are designed for a specific programming language or a specific runtime environment. They share lifecycle states like *stopped*, *started/running*, *paused*, and *failure* and

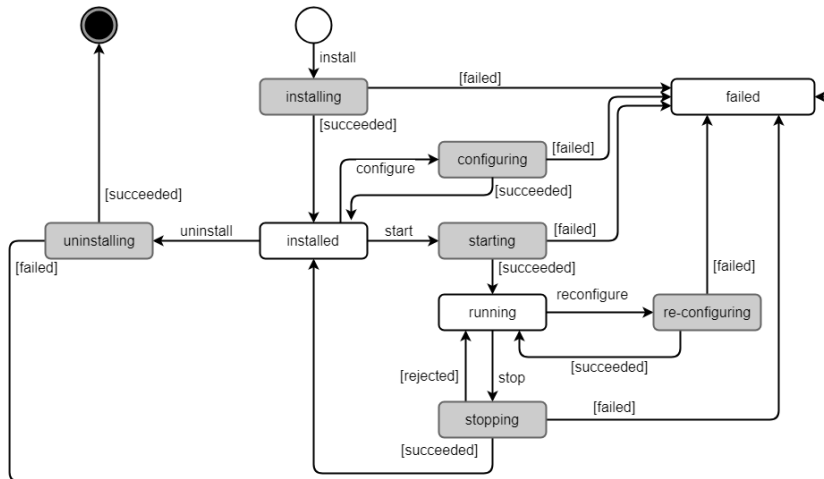


Figure 1: A sample application lifecycle graph.

transitions between these states like *installing*, *starting*, *stopping*, *configuring* etc., but they slightly differ in the details. Based on the work of Arcangeli et al. (Arcangeli et al., 2015), the OSGi lifecycle (OSGi Alliance, 2018), and the Docker lifecycle, a combined application lifecycle graph was developed considering the introduced tasks (Figure 1). Within additional intermediate states (shown in grey) the received task is executed; each of these states could either be successful resulting in a persistent state, or could fail resulting in the *failed* state, which requires further action, possibly including manual intervention by the operator.

In the most usual case, a software update task refers to a sequence of stopping the old module if it was currently running, persisting its current state and uninstalling the module afterward; the new module is installed, configured according to its persisted state and started if the old module was running before. Thus, the module continues to work accordingly and its down-time can be minimized. In contrast, a software configuration task, by design, should be less intrusive than a software update task; i.e., it should not be required to restart the running module.

### 2.3 Implementation and Communication Approaches

The defined tasks shall be triggered by a backend operator, for example, by using a web dashboard. The application itself stays uninterpreted on the backend side; it may be an executable, an archive or other data – for the backend it is just a Binary Large Object (BLOB) not requiring a defined format. Configuration shall preferably be in a platform-independent format (e.g., JSON); the device system is responsible for

the proper handling of the BLOB and the configuration once the files have been transferred to the device. For the transfer of applications and configurations two possible approaches exist:

**Push Approach:** The backend initiates the transmission, commands or information requests are sent immediately to the device.

**Pull Approach:** The device initiates the transmission. It periodically requests the backend to transmit pending changes; in this setting, however, the backend may not always be aware of the device’s current state (cf. devices shadows).

Especially in the Smart Grid and Smart Building context, it is not always possible or desired to keep a permanent connection between the operator backend and the devices. Thus, a pull approach is usually preferred.

## 3 STATE OF THE ART

The architecture of a system generally consists of a central backend and control system in the operator’s sphere, responsible for the management of software components on the field devices. Many target devices in the field are controlled by one backend system; they are connected with the backend by one of various possible communication channels, where messages and artifacts are exchanged. Target systems allow for modular applications (R3), thus the number of concurrently executed applications may be high. Depending on the use case and the used hardware components, the implementation of applications can differ (e.g., OSGi modules or Docker containers). These systems have to include mechanisms for automated

application provisioning, remote and automatic configuration and update of services to minimize engineering effort (Plug & Automate). The enumerated tasks (T1–T7) can either be initiated manually by a human user or automatically via some (scheduled) scripts. On the target system side, a device application management is responsible for executing received commands and replying the status to the initiator. For an installation task, for example, it downloads the application from the backend repository; for example, for OSGi-based deployments from an OSGi Bundle Repository (OBR) implementation.

Next, existing IoT solutions are evaluated whether they are suitable for the listed software management requirements in the field of IIoT. While the list is not exhaustive, it provides a good overview on the spectrum of currently available solutions and their features. Those tools can be divided into software distribution tools (Section 3.1), and modular application servers in which applications can be installed/updated (Section 3.2). Furthermore, approaches for such use cases by the cloud providers AWS, and Microsoft are discussed (Section 3.3).

### 3.1 Software Distribution Tools

#### 3.1.1 Eclipse hawkBit

*Eclipse hawkBit*<sup>1</sup> is an open source project aiming to be a domain-independent open platform for providing software updates in IoT systems. It can either be used separately or included into existing systems by providing a RESTful management interface for device integration. Furthermore, a web dashboard can be used as user interface. *hawkBit* does not provide any dependency management; it completely focuses on update distribution, while not requiring knowledge on the artifacts' structure (hence providing domain independence). However, main provisioning features are not well supported: While installing/updating a software is the main function, neither a later uninstallation/removal of an installed application nor an explicit start or stop is possible. In result, main requirements of application lifecycle management can not be fulfilled.

#### 3.1.2 balena

*balena*<sup>2</sup> is a container-based platform for the deployment of IoT applications. The device needs to be set up with *balenaOS*, an operating system, optimized for running Docker containers on embedded devices.

<sup>1</sup><https://www.eclipse.org/hawkbit/>

<sup>2</sup><https://www.balena.io/>

Code is pushed to *balena's* build servers, results are stored as Docker containers in a centralized Docker registry and delivered to the devices in that form; its application lifecycle is thus linked to the Docker container lifecycle. While some states are named differently, they are nevertheless representable by the suggested lifecycle shown in Figure 1. Devices are managed and monitored by centralized web-based dashboard, the fleet manager. On the device side, a supervisor container serves as agent, responsible for managing application updates and reporting the device's status. *balena* supports diff-based container updates, i.e., when updating, a device does not need to download the entire new container, but only the changed parts – useful especially for devices constrained by connectivity or bandwidth. Furthermore, *balena* provides four update strategies: *download-then-kill*, *kill-then-download*, *delete-then-download*, *hand-over*; they shall be utilized depending on the device's available memory, storage, and processor, as well as on the acceptable down-time during an application's update. Once a new version of an application container is available in the registry, the supervisor automatically downloads it and replaces the running container employing the defined update strategy. Unfortunately, the restriction to Docker containers as well as the binding to a specific operating system on the devices limits the applicability of this approach.

#### 3.1.3 SWUpdate

*SWUpdate*<sup>3</sup> is an open source software to update the firmware of embedded systems. A Linux update agent supports local and remote updates by using multiple update strategies. For a customization of the update process, *SWUpdate* supports pre- and post-install scripts. Furthermore, *SWUpdate* uses a stand-alone software application for the installation of software onto the desired storage. Before the application installs the software, it determines whether the software is installable and suitable for the device's hardware. *SWUpdate* also supports the update of single files in a filesystem, mostly for updating the configuration of an application. In general, *SWUpdate* is tailored to firmware applications and does not provide any application lifecycle management functionality.

#### 3.1.4 Gridlink Application Framework Provisioning

Apart from the seven application lifecycle management tasks that were enumerated earlier in this paper, *Faschang et al.* introduced a provisioning system

<sup>3</sup><https://github.com/sbabic/swupdate/>

for *Gridlink*, a framework for modular Java applications (Faschang et al., 2017). Tasks are initiated by the remote operator dashboard and communicated to the device via the eXtensible Messaging and Presence Protocol (XMPP). Additional features include the detection of dependencies and the configuration of modules to be installed. Though all of the mentioned tasks were implemented, their provisioning system is very tightly connected with the *Gridlink* system, thus manageable applications are restricted to *Gridlink* modules, incompatible with OSGi.

### 3.1.5 iSSN Application Lifecycle Management

*Gawron-Deutsch et al.* introduced a generic implementation for application lifecycle management in IIoT use cases including an optional App Store (Gawron-Deutsch et al., 2018). Purchased applications are downloaded by the *Application Lifecycle Management Service* to the local application repository, situated within the operator’s backend. The operator manages several field devices using an user interface on the backend side; enumerated application lifecycle tasks are issued there and communicated to the *Application Lifecycle Management Agent* running on the device. There, specific shell scripts based on file type and task (e.g., `docker-install.sh` for an installation task of a Docker container) are called. Hence, a generic approach for high numbers and types of applications is provided. However, while it is not restricted to a certain programming language or platform, it is not suited for modular systems like OSGi deployments.

## 3.2 Application Servers

### 3.2.1 Apache Karaf

*Apache Karaf*<sup>4</sup> extends the OSGi platform by additional features like hot-deployment, provisioning and management. It can be used as a container for a wide range of Java applications like OSGi, Spring and Web Application Archive (WAR). Each *Karaf* installation has a hot-deployment-folder; resources placed at this location are automatically installed to the container. Local management tools, such as the *Karaf Decanter*, are based on the Java Management Extensions (JMX) and provide monitoring capabilities of local deployments. Currently, the ecosystem does not provide a central management of multiple installations. Furthermore, *Karaf* does not support strategies for error handling, such that in case of a failed deployment, the administrator needs to solve the issue.

<sup>4</sup><https://karaf.apache.org/>

### 3.2.2 Eclipse Virgo

*Eclipse Virgo*<sup>5</sup> is a module-based Java application server to develop, deploy, and service enterprise Java and Spring applications. It uses a pipeline with several stages for deploying artifacts. Various other types of artifacts are supported (e.g., OSGi bundles, WAR files), and user-defined artifact types can be added. Its lifecycle includes an additional “resolved” step and is apart from that consistent with Figure 1. Furthermore, *Virgo* includes dependency management and hot deployment abilities; however, as it only allows to deploy and undeploy applications, the required tasks cannot be completely fulfilled.

## 3.3 Cloud-provider Solutions

In cloud-edge architecture settings, field devices connect to a backend or management software on the edge and not directly to the cloud. Thus, field devices do not necessarily maintain a permanent connection to the cloud or to the Internet at all. The trend of moving computation tasks from the cloud to the edge for reduced end-to-end latency, continuous service without permanent connection to the cloud, optimized usage of network bandwidth, and reduction of costs (Noghabi et al., 2018) has also been addressed by the leading cloud providers Amazon Web Services (AWS) and Microsoft Azure. Thus, they have introduced their own tool set for integration and management of edge infrastructures.

### 3.3.1 AWS IoT Greengrass

*AWS IoT Greengrass*<sup>6</sup> is Amazon Web Services’ tool for the edge extending the *AWS IoT SDK*. Two types of devices can be distinguished: (i) a Greengrass Core (GGC) Device, and (ii) many IoT (field) devices that together form a Greengrass Group. The GGC contains a local MQTT (Message Queue Telemetry Transport) broker providing local communication as well as communication with the AWS cloud such that not every device requires a direct or permanent internet connection. Using *Greengrass*, local Lambda functions can be deployed to the edge; however, as the GGC is the only device that can be reached, only on this device a Lambda function can be executed. Thus, software cannot be rolled out to any other device in the group. Furthermore, *Greengrass* supports installation and uninstallation only; application execution and lifecycle management are thus only restricted.

<sup>5</sup><https://www.eclipse.org/virgo/>

<sup>6</sup><https://aws.amazon.com/greengrass/>

Table 1: Main features of the evaluated deployment tools.

	Deployable Components	Key features	Availability
<b>Software Distribution Tools</b>			
Eclipse hawkBit	not restricted (using Software Structure Definition)	interfaces for easy extensibility; no dependency management, insufficient support for lifecycle tasks	open source
balena	Docker containers	programming language agnostic, designed specifically for embedded devices, requires balenaOS as host operating system	partially open source
SWUpdate	especially firmware images	update of embedded systems' firmware with built-in double-copy strategy	open source
Gridlink Provisioning	Gridlink modules as JAR file, JSON configuration	uses XMPP to deploy software artifacts, monitoring dashboard, dependency management	closed source
iSSN Application Lifecycle Management	not restricted (using shell scripts)	deploys arbitrary software artifacts by specific shell scripts, monitoring dashboard	closed source
<b>Application Servers</b>			
Apache Karaf	Karaf features (e.g., OSGi bundles, Spring, Web Application Archives)	extends basic OSGi platform by additional features and additional tools	open source
Eclipse Virgo	OSGi bundles, configuration files, arbitrary artifacts, groups of artifacts	module-based Java application server supporting various types of artifacts	open source
<b>Cloud-provider solutions</b>			
AWS IoT Greengrass	local Lambda functions	deployment is possible to a specific device only	closed source
Azure IoT Edge	local Azure functions	deployment to an IoT edge device running Azure IoT edge runtime	closed source

The *AWS Serverless Application Repository*<sup>7</sup> is comparable to an App Store for serverless applications (i.e., Lambda functions). Although actually designed as a repository for "normal" AWS Lambda functions, local Lambdas for the GGC device could also be retrieved there. As furthermore each device has its own shadow in the cloud (and also on the GGC), its configuration (which in principle is a JSON file) can be updated and distributed to the device, regardless whether it is currently connected to the cloud or not – the device will be synchronized with its shadow on the next connection.

### 3.3.2 Azure IoT Edge

*Azure IoT Edge*<sup>8</sup> is the comparable tool to *AWS Greengrass* in Microsoft Azure IoT providing serverless execution on the edge. Modules in form of containers can be deployed to the edge. Furthermore, a function comparable to AWS' local Lambda functions is in public preview.

<sup>7</sup><https://aws.amazon.com/serverless/serverlessrepo/>

<sup>8</sup><https://azure.microsoft.com/services/iot-edge/>

## 4 OSGi DEPLOYMENT PROCESS

The BEMS plays an important role regarding grid stability and integration of renewable energies. It acts as communication center within the building and is able to perform load management tasks. Such tasks can be motivated by local optimization goals (e.g., maximization of its own PV consumption) or triggered by remote actors like the distribution system operator or the iSSN to stabilize the grid. Thus, the BEMS needs to support various communication protocols to interact with devices from building and remote systems, and it needs to be extensible for customized applications.

In the previous section, several state-of-the-art tools in the area of software deployment on both the control (e.g., Eclipse Hawkbit) and the controlled side (e.g., Apache Karaf) of the system have been described. However, not all requirements and tasks can be fulfilled by the frameworks in evaluation: Most of the frameworks show only limited support for the expected lifecycle tasks: issuing an installation task usually already includes the start of the module, and modules cannot be stopped once running. Further-

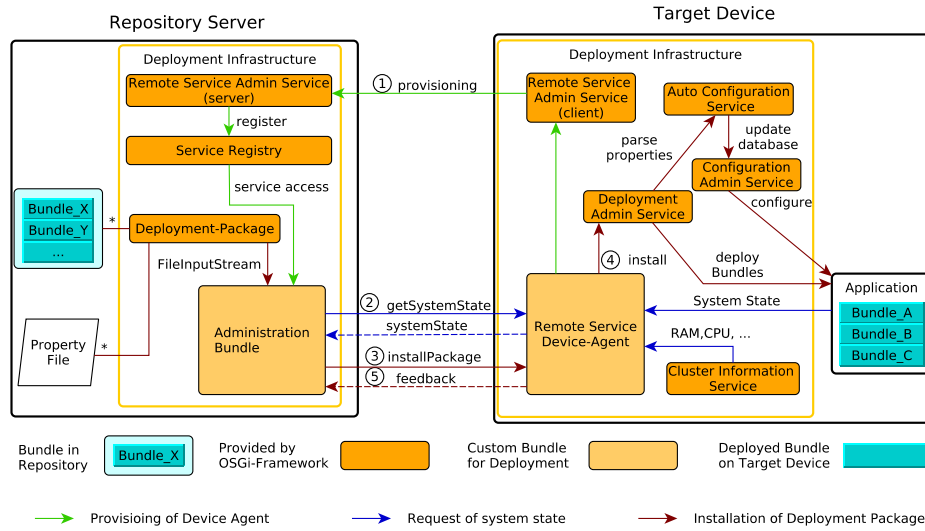


Figure 2: OSGi infrastructure - repository server and target.

more, most frameworks have only limited support of different deployable component types or they do not allow a central management of multiple devices.

Due to the popularity of OSGi in the customer domain and the lack of a satisfying solution, we propose an OSGi-based deployment process which considers the aforementioned requirements R1-R7. The OSGi Service Platform is a module system for the Java programming language which allows a dynamic integration and remote management of software components. The OSGi specification is driven by the OSGi Alliance whose mission is to create open specifications for network delivery of managed services to local networks and devices. OSGi has been adopted for solutions in IoT, Machine-to-Machine (M2M), Smart Home, Energy Management, Smart Meters, Healthcare, Automotive and various other domains.

#### 4.1 Architecture

The proposed architecture is depicted in Figure 2. It consists of a server and a client part with different orchestrations of standardized OSGi services defined in the OSGi Compendium Release 7 (OSGi Alliance, 2018). The server part represents the repository server with released OSGi bundles and project-specific property files. A target device is a connected node with its own OSGi framework running.

The *Deployment Package* represents the standardized deploy unit of the OSGi framework and contains multiple OSGi bundles together with their project-specific property files. A bundle is a Java Archive (JAR) extended by OSGi-related meta data that may contain Java classes, embedded JAR files, native code

and other resources. To keep the deployment process as modular as possible, only cohesive components should be put together in the same deployment package.

The *Device Agent* provides a service interface which handles all interactions between the target device and the repository server. This interface defines methods for monitoring the system state with additional device information and for installing deployment packages. The device agent runs on the target device and implements this interface to export its implementation into the runtime system for remote access.

The *Administration Bundle* allows the manual execution of deployment tasks over the command line. Every connected device can be accessed dynamically through this bundle by importing the implementation of the Device Agent, after the device connected with the Repository Server. All imported implementations are collected in a list and every deployment step will be executed for all entries.

#### 4.2 Deployment

The interactions between the Repository Server and Target Device are shown in Figure 2 with different types of arrows.

##### 4.2.1 Provisioning of the Device Agent

The provisioning activity is marked with (1) in Figure 2 and done by using the Remote Service Admin Service. The same bundle is located on both sides, but started one time as server and the other times as

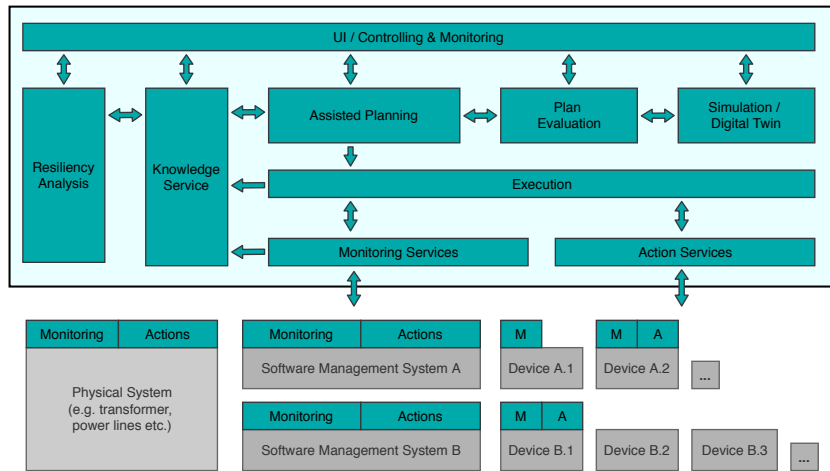


Figure 3: KBSM Framework.

client on every device. This service registers the implementation of the individual Device Agent over the network in the local Service Registry of the Repository Server. This way, the administration bundle gets access to every device agent.

#### 4.2.2 Monitoring the System State

For requesting device information as well as the system state of the connected devices the interface method marked with (2) is used. This information is needed to evaluate a stable state for installing a bundle on the device. The system state is derived from the current state of the installed bundles. Information like available RAM or CPU workload is delivered by the Cluster Information Service.

#### 4.2.3 Installation of a Deployment Package

If the device is in a stable state for installing a Deployment Package, another interface method (3) can be used to transfer the package over the network to the Device Agent. The Device Agent will then call the local Deployment Admin Service (4) to install it. The bundles from the package will be directly installed in the framework, while the delivered property files are parsed with the Auto Configuration Service. This service updates the property database of the Configuration Admin Service with the new entries. Afterwards, the Configuration Admin Service configures every bundle, which subscribed the updated properties. At the end of the procedure an installation feedback (5) is given to the Repository Server. This can be used for visual representation and to initiate a software rollback at the target in case of an error.

## 5 KNOWLEDGE-BASED SOFTWARE MANAGEMENT

The analyzed management systems to rollout software to one or more devices cover different levels and areas of dependency management. However, for complex CPSs there are additional dependencies beyond of state-of-the-art software rollout systems' support. These software dependencies with respect to interfaces and functionality range from the device level (dependencies' runtime environment like drivers, configured sensors etc.) via the system level (protocols, services etc.) up to the domain level (functional dependencies with respect to the controlled physical system; for example, two controller applications on separate devices should not try to control the same physical parameters). To ensure a given level of dependability of the CPS, during and after the software rollout, it is important to cover dependencies on all of these levels, rather than focusing on one of the levels alone.

If a diverse set of devices is used in CPSs, it is likely that there will also be the need of a diverse set of software deployment systems, since there are differing requirements for devices' types with divergent hardware. For example, resource-restricted IoT devices, sending sensor measurements via a wireless protocol, do not provide the resources to run containerized applications and can thus not be managed by a Kubernetes backend. However, managing a state-of-the-art edge device using a firmware management tool that flashes the whole device every time one of the software components needs an update, leads to an unnecessary outage of functionality that is not affected by the software change. In addition, none of



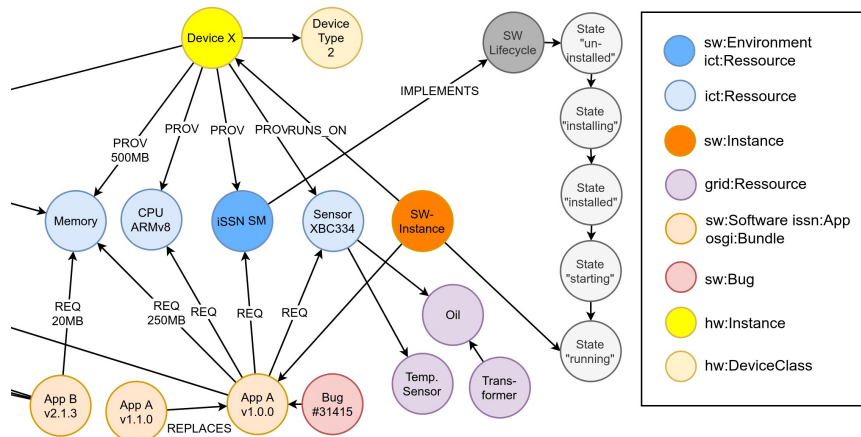


Figure 4: Subset of a knowledge graph stored in the Knowledge-Based Software Management backend.

the analyzed systems are able to include knowledge about the physical environment and the functions of the software into the software rollout planning and execution. Examples for these properties are: bandwidth and availability of communication connections, connection of two different controllers to the same physical system (possible interference of control actions), or constraints that could prevent updates of a software component in a given system state.

To resolve the described limitations, an additional layer of software management is proposed which generates and executes software rollout plans using existing software management systems. The knowledge-based software management (KBSM) layer as shown in Figure 3 utilizes knowledge about the setup of the CPS and its components for the planning process, which includes knowledge about the underlying software deployment processes themselves.

Ontologies are used to describe the system’s components and their relations in the *Knowledge Service*. This covers properties of software components (size, memory usage etc.), of computation devices (available memory, software environment), the controlled physical system (tapped transformers, power lines, power switches etc.), the acting roles and the processes executed in the system etc. The knowledge thus consists of static knowledge (e.g., about software state machines) and dynamic knowledge (e.g., memory usage, running applications). Figure 4 shows a subset of a knowledge graph as stored in the *Knowledge Service*.

*Resiliency Analysis* uses the stored knowledge about the interactions, rolls and processes to derive new knowledge about mis-use cases; i.e., it derives what can go wrong in a system and who might act malicious. This knowledge is stored in the *Knowledge Service* to be used for planning and for monitoring the

plan execution.

*Assisted Planning* uses the stored knowledge to derive a plan of sub-states the system should transition through to create a new system state that is defined by a human operator (e.g., “applications affected by bug #31415 shall be replaced by newer version”).

*Execution* executes the state plan by transforming the planned sub-state transitions into concrete actions and by controlling the execution of these actions in the CPS. In case of a failure, the *Execution* tries to bring the system (back) into a safe system state as defined in the plan.

The KBSM framework is currently being implemented and tested in a *Mosaik*<sup>9</sup> based co-simulation setup (Schloegl et al., 2015; Steinbrink et al., 2019) as well as in a hardware-in-the-loop lab test setup. The described OSGi deployment process and the iSSN application lifecycle management are used to rollout software in Smart Grid use cases. Results will be presented in future publications. It is expected that by including extended descriptions of the used hardware, its properties, its connections, as well as the dependencies between software and hardware, the KBSM can be extended to cover hardware dependencies on a detailed level as well.

However, a system to cover all dependencies on all levels from physical to logical level in detail is not only challenging but might be too complex and require a depth of knowledge about the system that is too complex to be manageable. In addition, the knowledge-based approach is currently constrained with respect to the system’s dynamic properties. However, in combination with other modelling approaches and the usage of a digital twin to test the software rollout plan against predefined scenarios, it is expected that the presented approach covers a level

<sup>9</sup><https://mosaik.offis.de/>

that increases the dependability of the system during and after the software rollout in comparison to the current state-of-the-art.

## 6 CONCLUSION

We evaluated a set of existing customer-grade IoT tools that seemed to be promising for application lifecycle management in IIoT use cases, but unfortunately not all of the desired functions can be implemented using these tools. We thus proposed an OSGi deployment process fulfilling the defined requirements and implementing the defined tasks. It has been successfully tested in a small lab setup and further tests in a real world large scale co-simulation testbed are currently undertaken. Nonetheless, further development must be carried out to enable an automated deployment. This involves guidelines for application development using this approach, signing of bundles, software versioning and the overall integration into a CI/CD pipeline. On the target side, the rollback mechanism is currently limited to failures thrown by the OSGi framework which occur during the installation phase of a new update. Rollbacks on errors or wrong application behaviour during runtime caused by a faulty update (or even by an attack) are not supported yet. However, this is a crucial feature since the BEMS controls large devices like heat pumps and charging stations. On large scale, wrong application behaviour could cause serious problems in the low voltage grid. Therefore, further work is necessary to enhance the rollback mechanism.

Dependency management implemented by state-of-the-art software deployment tools is limited to the software domain. To be able to include knowledge about the setup of the CPS, its physical properties, overall state etc. the KBSM framework was presented. This additional management layer uses knowledge represented in graphs to derive and execute software deployment schedules. By using this backend, dependencies on all layers from device level (software requires sensor, software requires service on same device, etc.) via the system level (software needs service on other device, software usage excludes usage of specific other software, etc.) up to the domain level (software on device is the only entity that controls setpoint, etc.) can be resolved. The KBSM framework is currently still in active development and is currently being tested using the iSSN Application Lifecycle Management and the OSGi deployment process as underlying deployment tools in a Smart Grid scenario using a large scale co-simulation/emulation approach.

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